

# Ocean Dynamics

## Improved statistical prediction of surface currents based on historic HF-Radar observations --Manuscript Draft--

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<b>Abstract:</b>	<p>Accurate short-term prediction of surface currents can improve efficiency of search-and-rescue operations, oil-spill response, and marine operations. We developed a linear statistical model for predicting surface currents (up to 48 hours in the future) based on a short time-history of past HF-radar observations (past 48 hours) and a forecast of surface winds. Our model used empirical orthogonal functions (EOFs) to capture spatial correlations in the HF-radar data and used linear autoregression model to predict the temporal dynamics of the EOF coefficients. We tested the developed statistical model using historical observation of surface currents in Monterey Bay, California. The predicted particle trajectories separated from particles advected with HF-radar data at a rate of 4.4 km/day. The developed model was more accurate than existing statistical model (drifter separation of 5.5 km/day) and circulation model (drifter separation of 8.9 km/day) for the same area. When the wind forecast was not available, the accuracy of our model degraded slightly (drifter separation of 4.9 km/day), but was still better than existing models. We found that the minimal length of the HF-radar data required to train an accurate statistical model was one to two years, depending on the accuracy desired. Our evaluation showed that the developed model is accurate, is easier to implement and maintain than existing statistical and circulation models, and can be relocated to other coastal systems that have a sufficient history of HF-radar observations.</p>

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5 observations  
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15  
16 **Abstract:**  
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20 search-and-rescue operations, oil-spill response, and marine operations. We developed a  
21 linear statistical model for predicting surface currents (up to 48 hours in the future) based  
22 on a short time-history of past HF-radar observations (past 48 hours) and a forecast of  
23 surface winds. Our model used empirical orthogonal functions (EOFs) to capture spatial  
24 correlations in the HF-radar data and used linear autoregression model to predict the  
25 temporal dynamics of the EOF coefficients. We tested the developed statistical model  
26 using historical observation of surface currents in Monterey Bay, California. The  
27 predicted particle trajectories separated from particles advected with HF-radar data at a  
28 rate of 4.4 km/day. The developed model was more accurate than existing statistical  
29 model (drifter separation of 5.5 km/day) and circulation model (drifter separation of 8.9  
30 km/day) for the same area. When the wind forecast was not available, the accuracy of  
31 our model degraded slightly (drifter separation of 4.9 km/day), but was still better than  
32 existing models. We found that the minimal length of the HF-radar data required to train  
33 an accurate statistical model was one to two years, depending on the accuracy desired.  
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35 Our evaluation showed that the developed model is accurate, is easier to implement and  
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4 maintain than existing statistical and circulation models, and can be relocated to other  
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6 coastal systems that have a sufficient history of HF-radar observations.  
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9 **Keywords:** Surface current prediction, HF-Radar, search and rescue, Monterey  
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## 18 **1 Introduction**

19 Knowledge of surface currents is essential in search and rescues operations, oil  
20 spill response, and marine operations. Several observing and modeling systems provide  
21 such capability. These include: measurements of surface currents using High Frequency  
22 (HF) coastal radars (Barrick et al. 1977), prediction of surface currents using primitive  
23 equation models (Breivick and Sætra 2001; Shulman and Paduan 2009), current  
24 prediction based on tidal harmonics (Egbert and Erofeeva 2010), and current prediction  
25 based on geostrophic balance between wind stress and the Coriolis force (Lagerloef et al.  
26 1999). Of these systems, HF-Radar is the only system that can directly measure surface  
27 currents over a large portion of the coastal ocean (up to 200 km offshore).  
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41 HF-Radar estimates radial surface current velocities by measuring Doppler shift  
42 in the gravity waves that move towards or away from the radar antenna. Using an array of  
43 antennas with overlapping lines of sights these radial velocities are combined into a field  
44 of vector current. The measured surface velocity is an average velocity over the surface  
45 layer of the ocean that varies, depending on the frequency of the radar system, between  
46 0.3 to 2.5 meters. Extensive comparisons of the HF-Radar current measurements against  
47 drifting buoys and upward looking ADCP estimated that 50% of the time the radar  
48 measurement errors are lower than 7 cm/s (Paduan and Rosenfeld 1996).  
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4           One disadvantage of HF-Radar application is that it can only provide information  
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6 about past conditions. In contrast, marine operations, search and rescue operations, and  
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8 oil spill response often require forecast of future currents. Two fundamental approaches  
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10 to such forecasts exist: (1) assimilating HF-Radar currents into physics-based models of  
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12 the ocean circulation (Paduan and Shulman 2004; Breivick and Sætra 2001; Shulman and  
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14 Paduan 2009), or (2) using empirical models to forecast future currents based on a short  
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16 time history of past observations (O'Donnell et al. 2012; Garfield and Paduan 2009;  
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18 Almeida 2008). In this paper, we present an empirical method for predicting HF-Radar  
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20 currents.  
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26           The proposed prediction method is an extension of our previous work on  
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28 statistical emulators of physics-based models (Frolov 2007; Frolov et al. 2009; van der  
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30 Merwe et al. 2007). In this paper, we train the emulators not on simulations of the ocean  
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32 circulation, but on historical HF-Radar observations of the surface currents. We will  
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34 further refer to emulators as empirical models in this paper. Our method operates in two  
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36 steps: (1) we capture the spatial complexity of the field of interest (surface currents) using  
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38 empirical orthogonal function (EOF) decomposition of a long historic dataset, and (2) we  
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40 train a compact statistical model that emulates the dynamics of EOF coefficients. In  
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42 addition to initial conditions from HF-Radar observations, our model incorporates  
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44 predicted wind stress from the regional atmospheric model.  
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51           Several important differences exist between our prediction method and the  
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53 empirical prediction methods of O'Donnell et.al. (2012), and Garfield and Paduan  
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55 (2009). Both O'Donnell et.al. (2012), and Garfield and Paduan (2009) developed their  
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57 methods for predicting currents at each individual grid point, without taking into account  
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4 information about surface currents at neighboring grid points that can inform the  
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6 prediction about propagating ocean fronts and eddies. In contrast, our method  
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8 incorporates spatial correlations during the EOF pre-processing step. Both O’Donnell  
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10 et.al. (2012) and Garfield and Paduan (2009) developed their models as a two-step  
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12 process, where the predicted currents are a combination of tidal currents, predicted with  
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14 the harmonic model, and low-pass currents, predicted using weighted averages of low-  
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16 pass signal during the last few days. In contrast, our model directly learns the tidal  
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18 signal, low-pass signal, and their interactions from data. Finally, the prediction system by  
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20 O’Donnell et.al. (2012) requires weekly re-training of the model. In contrast, our model  
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22 is trained only once.  
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28 We test the developed prediction system using a five-year-long dataset  
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30 (01/01/2006-10/30/2010) of HF-radar observations in Monterey Bay, CA. To evaluate the  
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32 predictive skill of the developed system, we used two error statistics: root mean square  
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34 (RMS) error between the predicted and observed currents, and separation between  
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36 drifters advected with predicted and observed currents. To understand how the accuracy  
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38 of the developed system compares to the accuracy of existing operational systems in  
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40 Monterey Bay, we compared the error statistics of our model with the error statics of the  
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42 empirically-based prediction model of Garfield and Paduan (2009) and with the data-  
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44 assimilative JPL-ROMS circulation model (Chao et al. 2009).  
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## 51 **2 Background on the circulation in Monterey Bay**

52 Several authors (Paduan and Cook 1997; Paduan and Rosenfeld 1996) used HF-  
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54 radar data to provide an extensive description of circulation patterns in Monterey Bay.  
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56 Paduan and Cook (1997) showed that the circulation can be divided into three equally  
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4 important parts: (1) low-frequency (days to weeks) circulation due to changes in regional  
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6 wind patterns, (2) semi-diurnal tidal circulation, and (3) circulation due to diurnal sea  
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8 breeze.  
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11 The low-frequency circulation is driven by intensification, relaxation, and reversal  
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13 of predominant equator-ward winds. (See Figure 1.e for the timeseries of along-shore  
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15 winds and Figure 1.a for the mean circulation field). When upwelling winds dominate, a  
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17 strong (~0.1-0.2 m/s) equator-ward jet develops across the mouth of the Monterey Bay  
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19 (Figure 1.b). During upwelling, circulation inside of the Bay is characterized by  
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21 weakening of the circulation leeward of Santa Cruz Mountains and a bay-wide counter-  
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23 clockwise retentive eddy. When upwelling winds relax, circulation over the entire region  
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25 becomes weak and confused (Figure 1.c). The only remaining coherent pattern is the  
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27 offshore expansion of the counter-clockwise eddy that was previously trapped inside of  
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29 the Monterey Bay by a strong upwelling jet. During infrequent periods of strong winter  
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31 storms, the direction of the flow inside and outside of the bay becomes pole-ward, with a  
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33 strong coastal jet developing inside of the Monterey Bay (Figure 1.d).  
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41 Tidal circulation in Monterey Bay is dominated by baroclinic tides (Paduan and  
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43 Cook 1997; Rosenfeld et al. 2009). Intensity of surface currents associated with  
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45 baroclinic (internal) tides depends on the time-varying density structure of the ocean and  
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47 on the bathymetry. The map of tidal ellipses computed from the HF-radar data (Figure 4  
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49 in Paduan and Cook (1997)) shows that M2 tidal velocities vary from almost zero over  
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51 the deep-waters of the canyon to 0.25 m/s at the head of the canyon.  
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56 The diurnal circulation in the Bay is dominated by the sea breeze (Paduan and  
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58 Cook 1997). Figure 4 in Paduan and Cook (1997) shows high coherence of the diurnal  
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4 currents across the entire bay. The circulation ellipses are oriented consistent with  
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6 direction of the Salinas valley that serves as conduit for marine air entering inland. The  
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8 diurnal circulation is strongest in the middle of the bay ( $\sim 0.20$  m/s), and decays offshore  
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10 and in proximity of the land boundary.  
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## 14 **3 Methods**

### 15 **3.1 Training and testing datasets**

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17 To train and test the developed surface current prediction system, we used a five-  
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19 year-long dataset (01/01/2006-10/30/2010) of HF-radar currents in Monterey Bay  
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22 California. Figure 2 shows the configuration of the standard-range HF-Radar network in  
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24 Monterey Bay. The spatial resolution of the dataset was 3 km and the temporal resolution  
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26 was 1 hour. To fill-in gaps in the current field due to poor radar returns, the HF-Radar  
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28 currents were interpolated using Objective Mapping Analysis (OMA; (Kaplan and Lekien  
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30 2007)).  
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36 To improve the predictive skill of the forecast, we experimented with  
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38 incorporating the following extraneous forcing variables as an input to the prediction  
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40 system:  
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- 43 1) Wind stress from the Navy's Coupled Ocean/Atmosphere Mesoscale  
44 Prediction System (COAMPS; (Doyle et al. 2009)). The dataset covered the  
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46 period from January 2006 to December 2010 and had 3 km resolution in  
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48 Monterey Bay. The temporal resolution was hourly, with the 48-hour forecast  
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50 issued twice daily.  
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4 2) Harmonic prediction of tidal elevations with the regional tidal model of  
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6 Egbert and Erofeeva (2010). Tidal elevations were predicted based on 8 tidal  
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8 constituents for 133 points evenly distributed through the HF-Radar domain.  
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11 Similar to surface current vectors, we used the EOF pre-processing before incorporating  
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13 wind stress and tidal data into our empirical model of surface currents.  
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### 16 17 **3.2 Linear autoregressive prediction model**

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19 Consider the following linear system that describes the evolution of the surface  
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21 currents in the ocean:  
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$$23 \quad x_{k+1} = \mathbf{A}x_k + \mathbf{B}w_k \quad (1),$$

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25 where  $x \in \mathbb{R}^{l_x}$  is the state variable consisting of the vertically concatenated  $u_{\text{HF}}$  and  $v_{\text{HF}}$   
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27 components of the surface currents at each grid point of the domain;  $w \in \mathbb{R}^{l_w}$  is the  
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29 forcing vector consisting of extraneous forcings, such as  $u_{\text{wind}}$  and  $v_{\text{wind}}$  components of the  
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31 wind stress;  $\mathbf{A}$  and  $\mathbf{B}$  are the state and input matrices; and  $k$  is the time index.  
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35 Using a least-square training procedure, we are interested in finding such  $\tilde{\mathbf{A}}$  and  
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37  $\tilde{\mathbf{B}}$  that will minimize the mismatch error  $\varepsilon$  between observed  $x$  and predicted  $\tilde{x}$  surface  
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39 currents:  
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$$42 \quad x_{k+1} = \tilde{x}_{k+1} + \varepsilon = \tilde{\mathbf{A}}x_k + \tilde{\mathbf{B}}w_k + \varepsilon$$

$$43 \quad J(\tilde{\mathbf{A}}, \tilde{\mathbf{B}}) = \sum_{i=1}^N \|\varepsilon_i\|_2^2 = \sum_{i=1}^N \|x_i - \tilde{x}_i\|_2^2 \quad (2),$$

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45 where  $N$  is the number of training samples.  
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49 Our previous work (Frolov 2007; Frolov et al. 2009; van der Merwe et al. 2007)  
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51 showed that it is possible to improve the accuracy of the prediction system (Eq. 1) and  
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53 the numerical properties of the least-square training algorithm (Eq. 2) by incorporating  
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55 the following modifications to (Eqs. 1 and 2).  
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4 1. To reduce the dimensionality of the training problem, we used an EOF dimension  
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6 reduction technique:

$$\begin{aligned} x^s &= \mathbf{\Pi}_x (x - \bar{x}) \\ w^s &= \mathbf{\Pi}_w (w - \bar{w}) \end{aligned} \quad (3),$$

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12 where  $x^s \in \mathbb{R}^{r_x}$  and  $w^s \in \mathbb{R}^{r_w}$  are the reduced state and forcings vectors (vectors of  
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14 EOF coefficients);  $\mathbf{\Pi}_x \in \mathbb{R}^{r_x \times l_x}$  and  $\mathbf{\Pi}_w \in \mathbb{R}^{r_w \times l_w}$  are the EOF dimension-reduction  
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16 operators with  $r_x$  and  $r_w$  modes retained; and  $\bar{x}$  and  $\bar{w}$  are the mean state and forcing  
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18 vectors. We computed the dimension reduction operators  $\mathbf{\Pi}_x$  and  $\mathbf{\Pi}_w$  based on the  
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20 training data. Prior to the computation, we dimensionalized the state and the forcing  
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22 vectors by dividing the u and v components by their standard deviations:  
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$$x = \begin{bmatrix} u_{\text{HF}} / \sigma_{u_{\text{HF}}} \\ v_{\text{HF}} / \sigma_{v_{\text{HF}}} \end{bmatrix}; w = \begin{bmatrix} u_{\text{wind}} / \sigma_{u_{\text{wind}}} \\ v_{\text{wind}} / \sigma_{v_{\text{wind}}} \end{bmatrix} \quad (4).$$

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29 One normalization coefficient  $\sigma$  was computed across all spatial locations.  
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34 2. To better capture the temporal evolution of the system and, hence, to improve the  
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36 prediction accuracy, we introduce augmented vectors  $X$ ,  $W$ , and  $\tilde{X}$  for initial  
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38 conditions, forcings, and predicted states.  
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$$X_k = \begin{bmatrix} x_k^s \\ \vdots \\ x_{k-n}^s \end{bmatrix}; W_k = \begin{bmatrix} w_{k+m}^s \\ \vdots \\ w_{k-n}^s \end{bmatrix}; \tilde{X}_{k+1} = \begin{bmatrix} \tilde{x}_{k+m}^s \\ \vdots \\ \tilde{x}_{k+1}^s \end{bmatrix} \quad (5).$$

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42 For past states and forcings, we used 9 lags: -48, -36, -24, -18, -12, -6, -3, -1, and 0  
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44 hours. For predicted states and future forcings, we used 4 lags: 1, 3, 6, and 12 hours.  
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47 Including more time-embedded states did not significantly improve the prediction  
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49 accuracy.  
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57 3. To capture temporal correlations in each of the time-embedded vectors  $X$ ,  $W$ , and  $\tilde{X}$ ,  
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59 we used a second EOF decomposition:  
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$$\begin{aligned} X^s &= \mathbf{\Pi}_{x2} \mathbf{T}_{x2} (X - \bar{X}) \\ W^s &= \mathbf{\Pi}_{w2} \mathbf{T}_{w2} (W - \bar{W}) \end{aligned} \quad (6),$$

where  $\mathbf{\Pi}_{x2} \in \mathbb{R}^{r_{x2} \times (n * r_x)}$  and  $\mathbf{\Pi}_w \in \mathbb{R}^{r_{w2} \times (n+m) * r_w}$  are the EOF dimension-reduction

operators with  $r_{x2}$  and  $r_{w2}$  modes retained to capture 99.9% of the variance, and  $\mathbf{T}_{x2}$

and  $\mathbf{T}_{w2}$  are the diagonal matrices that normalize each component of the time

embedded vectors  $X$  and  $W$  to have unit variance. We use this normalization to

improve the numerical properties of the training algorithm. We do not normalize or

apply the secondary dimension reduction to the output vector  $\tilde{X}$ .

4. Finally, to reduce over-fitting of the matrices  $\tilde{\mathbf{A}}$  and  $\tilde{\mathbf{B}}$  to training data, we

introduced a regularized cost function that penalizes large weights in matrices  $\tilde{\mathbf{A}}$  and

$\tilde{\mathbf{B}}$ :

$$J(\tilde{\mathbf{A}}, \tilde{\mathbf{B}}) = \sum_{i=1}^N \|X_i^s - \tilde{X}_i^s\|_2^2 + \lambda \left\| \begin{bmatrix} \tilde{\mathbf{A}}(\cdot) \\ \tilde{\mathbf{B}}(\cdot) \end{bmatrix} \right\|_2^2 \quad (7),$$

where  $\lambda$  is the regularization parameter that is fit using a cross validation procedure

(Frolov et al. 2009).

Using the dimension reduction operators (Eq. 3), time-embedded vectors (Eq. 5),

and secondary dimension reduction operators (Eq. 6), we can expand the prediction

system (Eq. 2) as follows:

$$\begin{bmatrix} \tilde{x}_{k+m}^s \\ \vdots \\ \tilde{x}_{k+1}^s \end{bmatrix} = \tilde{\mathbf{A}} \mathbf{\Pi}_{x2} \mathbf{T}_{x2} \begin{bmatrix} \mathbf{\Pi}_x(x_k - \bar{x}) \\ \vdots \\ \mathbf{\Pi}_x(x_{k-n} - \bar{x}) \end{bmatrix} + \tilde{\mathbf{B}} \mathbf{\Pi}_{w2} \mathbf{T}_{w2} \begin{bmatrix} \mathbf{\Pi}_w(w_{k+m} - \bar{w}) \\ \vdots \\ \mathbf{\Pi}_w(w_{k-n} - \bar{w}) \end{bmatrix} \quad (8).$$

The output of the prediction system can then be reconstructed as:

$$\tilde{x}_{k+1} = \mathbf{\Pi}_x^T \tilde{x}_{k+1}^s + \bar{x} \quad (9).$$

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4 We implement the prediction system (Eq. 8) and the least square fitting procedure  
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6 (Eq. 7) using the Netlab<sup>©</sup> package (Nabney 2004)—an open source network training  
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8 package for Matlab<sup>©</sup>.  
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### 10 **3.3 Error metrics**

## 11 **4 Results**

12 To characterize the accuracy of the developed system, we trained a series of  
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14 empirical models with varying inputs. The parameters of each model are summarized in  
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16 Table 1.  
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18 [Table 1 here]

19 We used two error metrics to evaluate the accuracy of the trained models. (1) An  
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21 Eulerian RMS error between predicted and observed velocity fields. (2) A separation  
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23 error between two Lagrangian particles that were advected with predicted and observed  
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25 currents. Lagrangian particles were seeded every 3 days at each grid-point of the domain.  
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27 We used an Euler integration method with a timestep of one hour. Reducing the timestep  
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29 by the factor of 4 did not alter the results significantly.  
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32 We evaluated the prediction accuracy for forecast horizons up to 48 hours in the  
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34 future. The 48-hour forecast was generated as a sequence of hourly forecasts, where the  
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36 output of the previous forecast was fed back as initial conditions for the next forecast  
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38 cycle.  
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### 41 **4.1 Prediction accuracy**

42 To evaluate the prediction accuracy of the developed system, we trained the  
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44 empirical model E-HF-W on four years of data (1/1/2006-12/31/2009) and evaluated its  
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46 performance on ten months of data that were not seen in training (1/1/2010-11/1/2010).  
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4 The statistics of the errors are shown in Figure 3. In the first 6 hours, the Eulerian RMS  
5 error increased sharply to 0.09 m/s and leveled off at  $\sim 0.1$  m/s for the 48-hour prediction.  
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7 The Lagrangian drifter separation increased continuously at a rate of 180 m per hour. The  
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9 separation between simulated drifters was 4.4 km after 24 hours and, 8.8 km after 48  
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11 hours. The timeseries of RMS and drifter separation errors (Figure 4) showed weak  
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13 correlation ( $\sim 0.5$ ) with the mean flow speed, indicating an increase in prediction error  
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15 during periods of energetic flows. Correlations with the along-shore winds were lower  
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17 ( $\sim 0.2$ ). However, visual inspection (Figure 4) suggested that periods of increased error  
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19 coincided with the periods of stronger winds.  
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26 To illustrate the behavior of simulated drifters, we plotted trajectories of virtual  
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28 drifters deployed at the location of M0, M1, and M2 moorings. We plotted these  
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30 trajectories for a period of high (January 21 and April 22, 2010) and low (February 22  
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32 and August 05, 2010) RMS error (Figure 5).  
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## 36 37 **4.2 Sensitivity to the forcing functions**

38 To study how the prediction accuracy changes as a function of forcing inputs to  
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40 the empirical model, we trained two new models:  
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- 42  
43 • In **E-HF-W-Tide**, we added tidal elevations predicted by the regional model of  
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45 Egbert and Erofeeva (2010) as an additional input to our base empirical model E-  
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47 HF-W.  
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50 • In **E-HF**, we removed wind stress forcing from the base empirical model E-HF-  
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52 W. Model E-HF was essentially an unforced system that predicts future surface  
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54 currents based on past conditions.  
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58 See Table 1 for further details on the configuration of each empirical model.  
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4           When we compared the error statistics of the three empirical models (Figure 6),  
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7 we found that our base model E-HF-W (line marked with dots) performed similarly to the  
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9 model E-HF-W-Tide (line marked with circles). In fact, the two lines were almost  
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11 indistinguishable on Figure 6. This finding suggests that our autoregressive model was  
12  
13 able to independently learn the tidal variability in the system and did not require an  
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15 external tidal prediction model.  
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19           When we compared the performance of the base empirical model E-HF-W with  
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21 the empirical model E-HW, we found that including wind stress forecast improved  
22  
23 surface current prediction for longer forecast times (greater than 6 hours for the RMS  
24  
25 error criterion and greater than 12 hours for the drifter separation criterion). For 24 hour  
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27 prediction the RMS error decreased from 0.1 m/s (E-HW) to 0.09 m/s (E-HF-W) and the  
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29 Lagrangian separation error decreased from 4.9 km to 4.4 km.  
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### 32 33 34 **4.3 Sensitivity to the length of the training set**

35           To determine the minimum training length for the empirical model, we trained  
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37 our base model on a sequence of progressively longer training sets (from 0.25 years to 4  
38  
39 years). We tested these empirical models on the same time interval (1/1/2010-11/1/2010).  
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41 The test interval was independent of the training data. Figure 7 shows that errors were  
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43 lower for the longer training sets. A one to two year dataset was required to train an  
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45 empirical model with accuracy comparable or better than the model of Garfield and  
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47 Paduan (2009) (see section 4.4).  
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### 52 53 54 **4.4 Comparisons with existing operational models**

55           How does the prediction accuracy of our base surrogate E-HF-W compare to the  
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57 accuracy of existing surface current prediction systems? At the time of this publication,  
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4 two such systems provided operational forecasts of surface currents in the Monterey Bay  
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7 area:

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9 1) A JPL-ROMS circulation model (Chao et al. 2009) that assimilated  
10 observations of satellite surface temperature, some HF-Radar observations,  
11 and profiles of salinity and temperature from moorings and gliders.  
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16 2) And an empirical surface current model of Garfield and Paduan (2009) that  
17 was trained on the HF-Radar observations for Monterey Bay.  
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21 We computed simulated drifter separation errors for all three models for the  
22 month of October 2010, when the outputs of the models overlapped. The results of the  
23 comparison (Figure 8) show that our empirical model E-HF-W had the lowest error of all  
24 three models. After 24 hours, the separation errors were 3.8 km/day for the empirical  
25 model E-HF-W, 5.5 km/day for the empirical model of Garfield and Paduan (2009), and  
26 8.9 km/day for the JPL-ROMS circulation model.  
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35 Comparisons of the prediction errors between empirical models and the JPL-  
36 ROMS circulation model should be taken with a note of caution. This comparison is  
37 likely to slightly favor empirical models that were trained to mimic HF-Radar currents  
38 exactly. In reality, HF-radar measurements are not error free, and a more fair comparison  
39 would compare predicted currents with independent measurement of the surface  
40 circulation, such as surface drifters. Unfortunately no surface drifters were deployed in  
41 Monterey Bay during the period of this study.  
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## 52 53 54 **5 Summary and discussion**

55 A novel empirical modeling framework was developed that enabled prediction of  
56 ocean surface currents based on the past history of HF-Radar observations and an  
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4 optional forecast of wind stress. The developed system was trained on a historic dataset  
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6 of HF-Radar observations and provides forecasts of surface currents up to 48 hours in the  
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8 future.  
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11 We tested the developed system in Monterey Bay, CA. Surface currents in  
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13 Monterey Bay are equally driven by low-pass variation in offshore winds, daily sea  
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15 breeze, and semi-diurnal baroclinic tides. We trained our empirical model using four  
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17 years of hourly HF-Radar data, and tested the performance of the model on 10 months of  
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19 data that was not seen in training. The Eulerian RMS errors rose sharply to 0.09 m/s for  
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21 the 6-hour-prediction and leveled off at 0.1 m/s for the 48-hour-prediction. The  
22  
23 Lagrangian drifter separation increased continuously at a rate of 180 m per hour. After 24  
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25 hours the separation between simulated drifters was 4.4 km and, 8.8 km after 48 hours.  
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27 The timeseries of errors showed that the errors were higher during periods of energetic  
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29 flow.  
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36 Through a series of sensitivity studies, we determined that incorporation of the  
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38 wind stress improved prediction of the surface currents beyond the 12 hour forecast  
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40 horizon. However, incorporating an external tidal model did not improve the forecast,  
41  
42 suggesting that the autoregressive formulation of our model is capable of learning the  
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44 tidal variability directly. We found that a dataset of at least 1 to 2 years in duration was  
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46 required to train a prediction system with accuracy exceeding existing empirical  
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48 prediction systems.  
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53 The developed model compared favorably to existing operational forecasts of  
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55 surface currents in Monterey Bay. For the one month when data for all models were  
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57 available, the divergence between the simulated drifters was lowest for the developed  
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4 empirical model (3.8 km in 24 hours), higher (5.5 km in 24 hours) for the existing  
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6 empirical model of Garfield and Paduan (2009), and highest (8.9 km in 24 hours) for the  
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8 data-assimilative JPL-ROMS circulation model. We attribute improved accuracy of our  
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10 model, as compared to the empirical model of Garfield and Paduan (2009), to (a)  
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12 incorporating wind stress prediction in our model and (b) better capability to capture  
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14 spatial and temporal correlations in the data using the two EOF pre-processing steps in  
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16 our model. We explain the low accuracy of the circulation model because it (a)  
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18 assimilated only four daily HF-Radar snapshots, as compared to hourly snapshots in our  
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20 empirical model and (b) it was fitting the HF-Radar data as a least-square compromise  
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22 between fitting the model forecast, observations of salinity and temperature profiles, and  
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24 observations of sea surface temperature from the satellite. These results suggest that  
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26 empirical models may provide a better forecast of surface current conditions than the  
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28 circulation models that are designed to predict the entire three-dimensional circulation  
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30 and the hydrography field.  
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40 **Acknowledgments:** We are grateful to the technicians and funding agencies that over the  
41 years supported the collection of HF-radar measurements in the Monterey Bay area. The  
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43 forecasts) was funded by the Central and Northern California Ocean Observing System.  
44 We specifically thank Chris Edwards and James Doyle for facilitating access to  
45 COMAPS model files. This work was supported by the ONR project (N00014-10-1-  
46 0424).  
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## 50 **Tables**

51 Table 1: Description of trained empirical models.  
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## 54 **Figures**

55 Figure 1: Climatology of HF-Radar currents. (a) Mean flow-field for all data  
56 (01/01/2006-10/30/2010). (b) Mean flow field during upwelling-favorable winds  
57 ( $V_{\text{alongshore}} < -0.5$  m/s). (c) Mean flow field during relaxation-favorable winds ( $V_{\text{alongshore}}$   
58 between  $-0.5$  and  $0.5$  m/s). (d) Mean flow field during downwelling-favorable winds  
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4 (V<sub>alongshore</sub>>0.5 m/s). (e) Timeseries of along shore winds (positive north) that were used  
5 to segment the flow field in panels (b-d). Winds were rotated 30 degrees to the left to  
6 orient wind direction with the shoreline direction.  
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8 Figure 2: Configuration of the HF-radar installation for Monterey Bay and locations of  
9 M1 and M2 moorings.  
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11 Figure 3: Average error for empirical model E-HF-W. (a) Eulerian RMS error averaged  
12 over the entire domain. (b) Separation error between simulated drifters. Errors were  
13 computed for a test period (1/1/2010-11/1/2010).  
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15 Figure 4: Time series of 24-hour ahead prediction errors (a-b), average water speed (c),  
16 and (d) wind speeds at mooring M1. All time series are for a test period (1/1/2010-  
17 11/1/2010). Vertical lines marked with roman numerals mark the periods shown in Figure  
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21 Figure 5: Trajectories of drifters advected with HF-Radar currents (blue) and with the  
22 currents predicted by E-HF-W model. Selected period correspond to vertical lines marked  
23 with roman numerals in Figure 4.  
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25 Figure 6: Average errors for empirical models forced with different inputs. (a) Eulerian  
26 RMS error. (b) Separation error between simulated drifters. Errors were computed for a  
27 test period (1/1/2010-11/1/2010).  
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29 Figure 7: Dependence of average errors on the length of the training set. (a) Eulerian  
30 RMS error. (b) Separation error between simulated drifters. Errors were computed for the  
31 test period from 1/1/2010 to 11/1/2010.  
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33 Figure 8: Average separation between simulated drifters for three models. Errors were  
34 computed for the test period from 10/4/2010 to 10/30/2010.  
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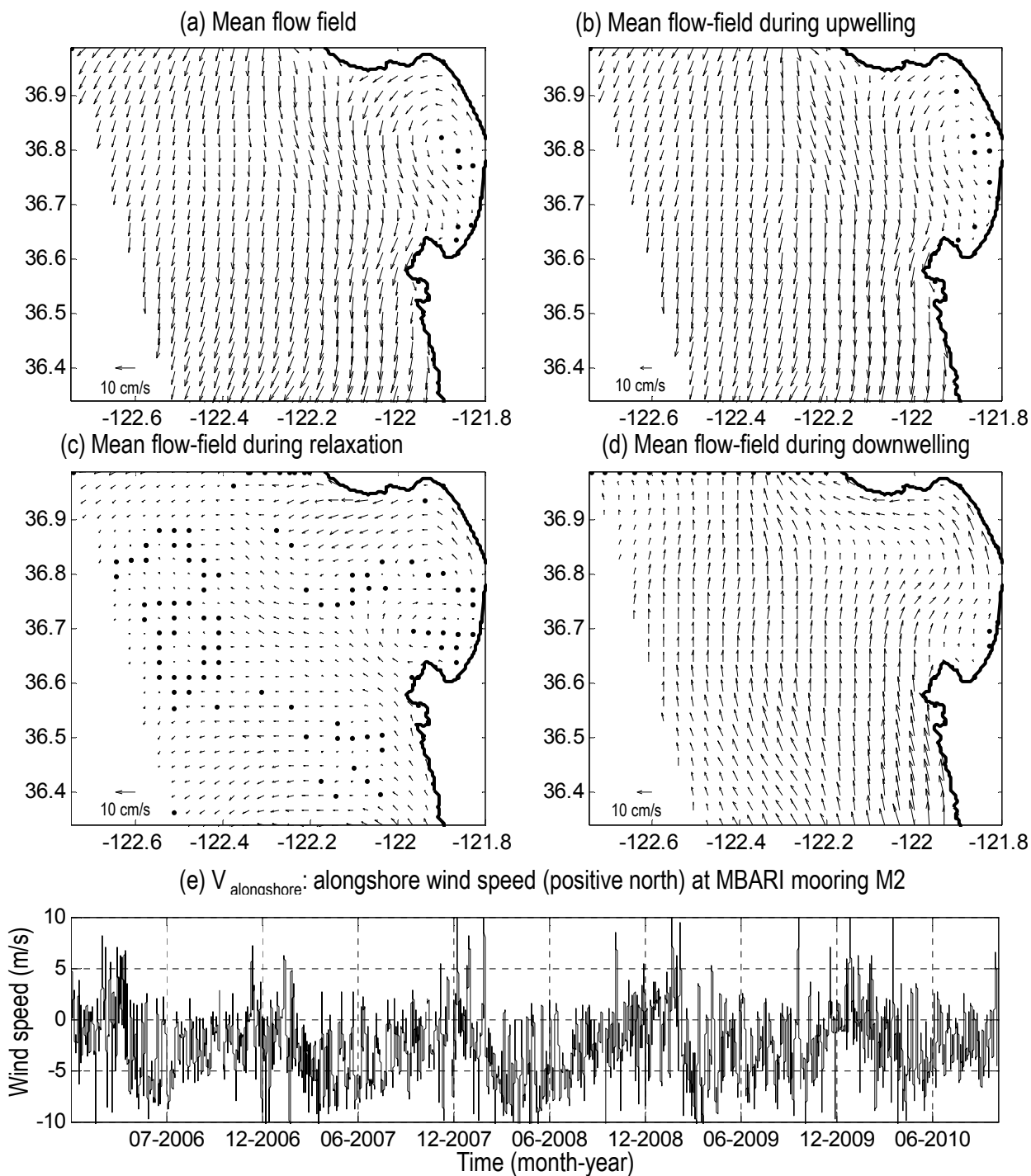


Figure 1: Climatology of HF-Radar currents. (a) Mean flow-field for all data (01/01/2006-10/30/2010). (b) Mean flow field during upwelling-favorable winds ( $V_{\text{alongshore}} < -0.5$  m/s). (c) Mean flow field during relaxation-favorable winds ( $V_{\text{alongshore}}$  between  $-0.5$  and  $0.5$  m/s). (d) Mean flow field during downwelling-favorable winds ( $V_{\text{alongshore}} > 0.5$  m/s). (e) Timeseries of along shore winds (positive north) that were used to segment the flow field in panels (b-d). Winds were rotated 30 degrees to the left to orient wind direction with the shoreline direction.

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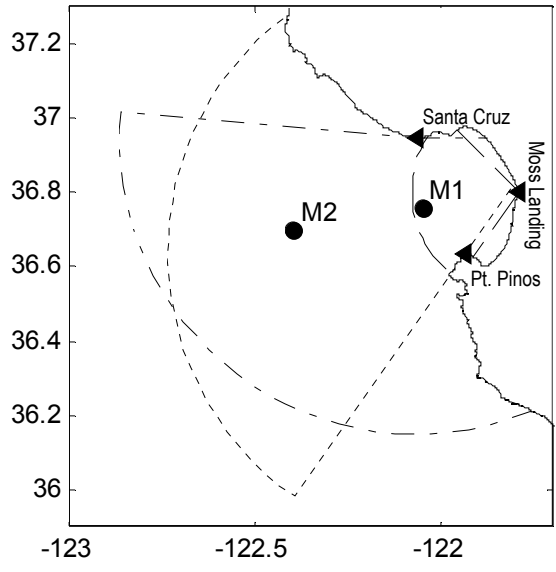


Figure 2: Configuration of the HF-radar installation for Monterey Bay and locations of M1 and M2 moorings.

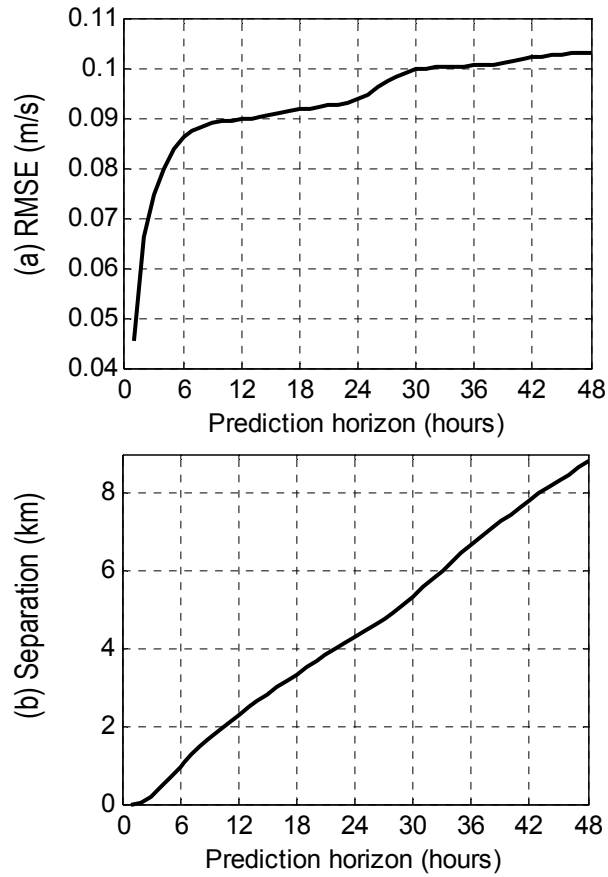


Figure 3: Average error for empirical model E-HW-W. (a) Eulerian RMS error averaged over the entire domain. (b) Separation error between simulated drifters. Errors were computed for the test period from 1/1/2010 to 11/1/2010.

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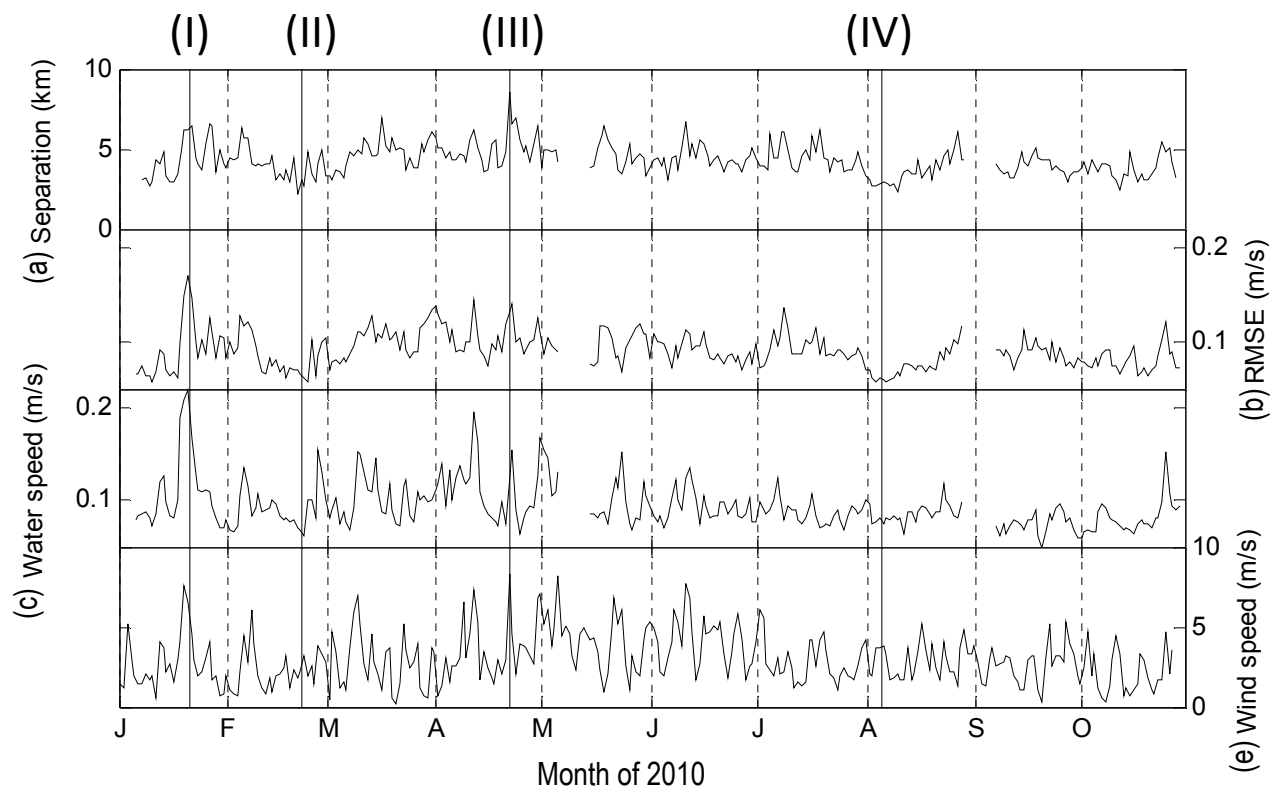


Figure 4: Time series of 24-hour ahead prediction errors (a-b), average water speed (c), and (d) wind speed at mooring M1. All time series are daily-averages and are computed for a test period (1/1/2010-11/1/2010). Vertical lines marked with roman numerals mark the periods shown in Figure 5.

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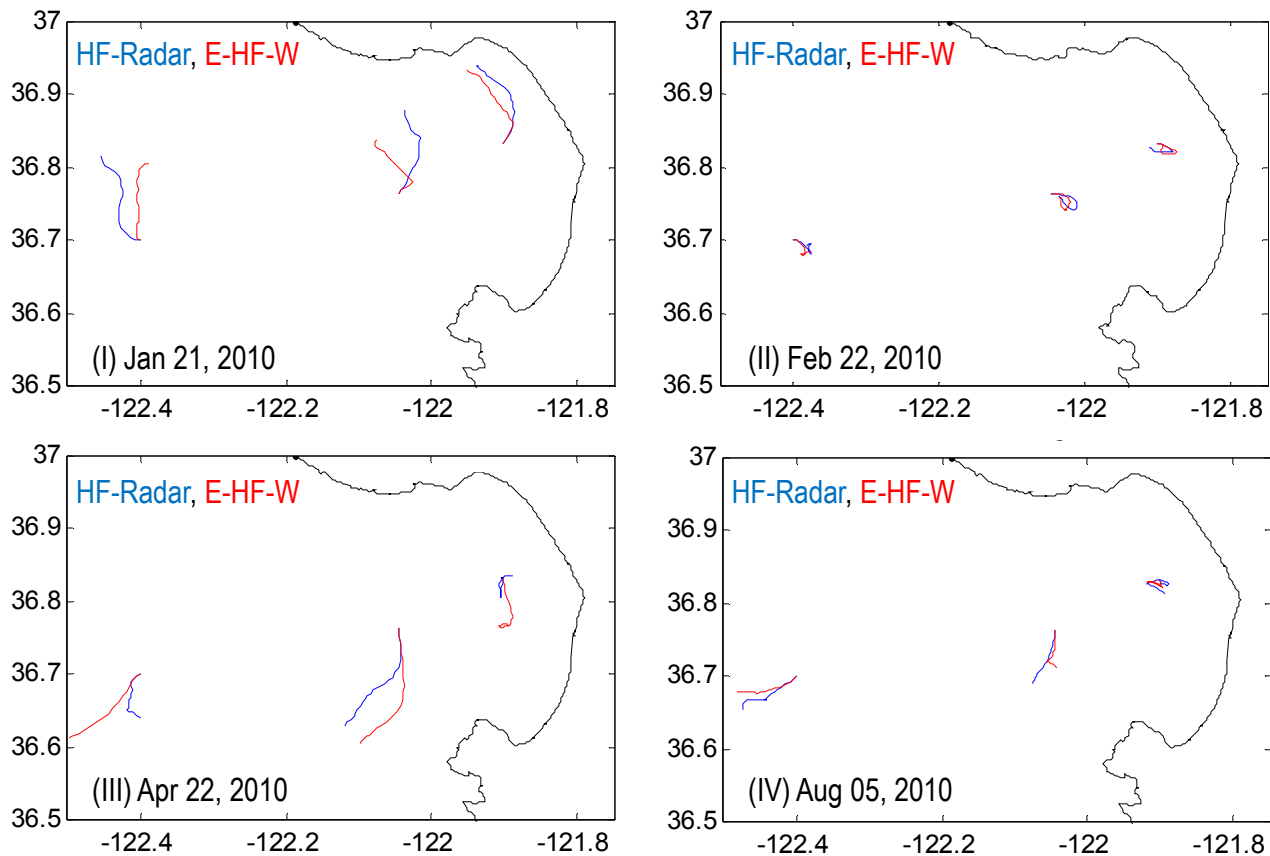


Figure 5: Trajectories of drifters advected with HF-Radar currents (blue) and with the currents predicted by E-HF-W model (red). Selected period correspond to vertical lines marked with roman numerals in Figure 4.

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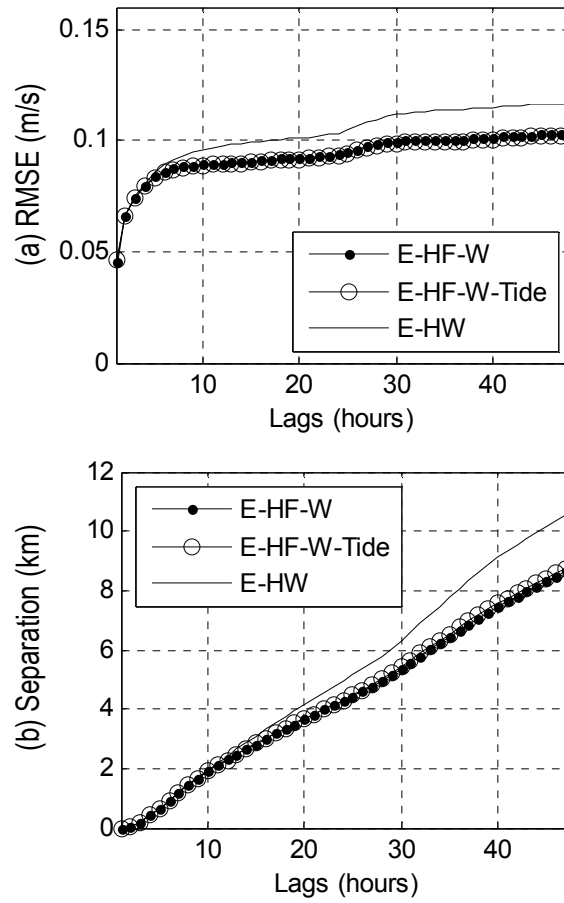


Figure 6: Average errors for empirical models forced with different inputs. (a) Eulerian RMS error. (b) Separation error between simulated drifters. Errors were computed for the test period from 1/1/2010 to 11/1/2010.



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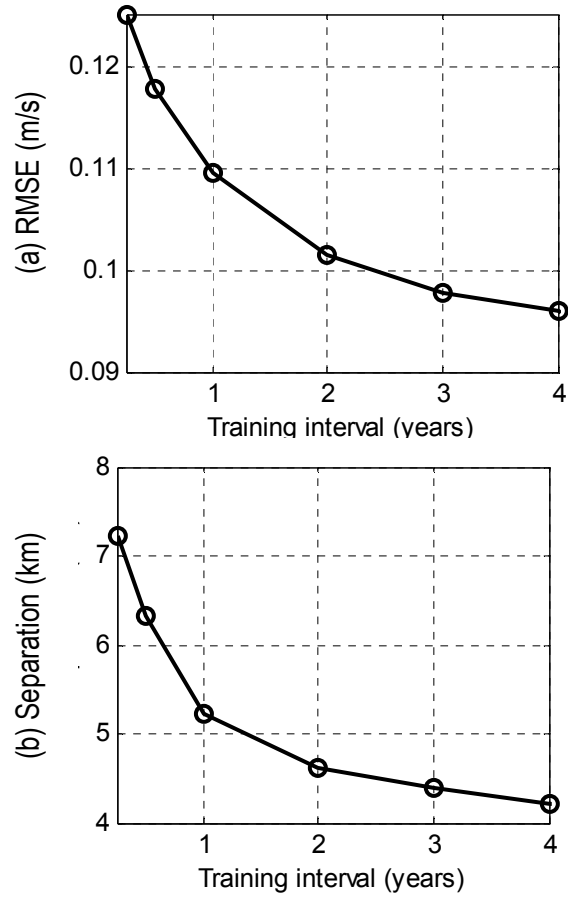


Figure 8: Dependence of average errors on the length of the training set. (a) Eulerian RMS error. (b) Separation error between simulated drifters. Errors were computed for the test period from 1/1/2010 to 11/1/2010.

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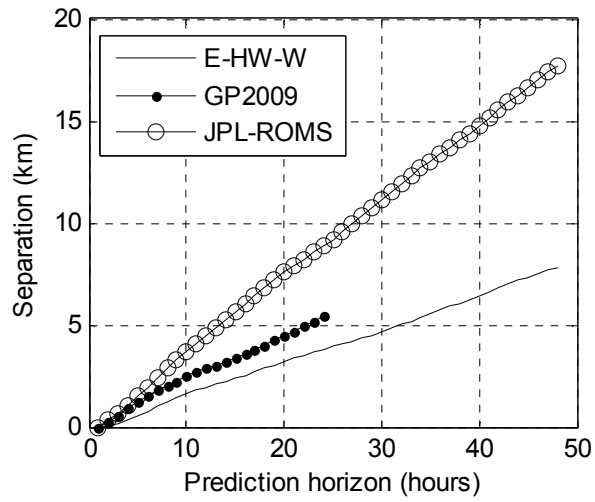


Figure 7: Average separation between simulated drifters for three models. Errors were computed for the test period from 10/4/2010 to 10/30/2010.

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Table 1: Description of trained empirical models

Experiment ID	Emulator inputs: # of EOFs (% variance)		
	HF-radar	Wind stress	Tide
E-HF-W	50 (94%)	40 (99%)	---
E-HF	50 (94%)	---	---
E-HF-Tide	50 (94%)	40 (99%)	3 (97%)